



ISSN: 2820-7114

Moroccan Journal of Algebra and Geometry with Applications

Supported by Sidi Mohamed Ben Abdellah University, Fez, Morocco

Volume 4, Issue 1 (2025), pp 74-80

Title :

On finiteness of some noncommutative Gröbner bases over finite fields

Author(s):

Yatma Diop and Laila Mesmoudi

On finiteness of some noncommutative Gröbner bases over finite fields

Yatma Diop¹ and Laila Mesmoudi²

^{1,2} Department of Mathematics and Computer Sciences, Cheikh Anta Diop University, Dakar, Senegal.

¹e-mail: yatma.diop@ucad.edu.sn

²e-mail: laila.mesmoudi@ucad.edu.sn

Communicated by Najib Mahdou

(Received 26 July 2024, Revised 28 November 2024, Accepted 03 December 2024)

Abstract. Eisenbud and al. proved that if \mathbb{K} is a field of characteristic 0 and $\gamma : \mathbb{K}\langle X_1, \dots, X_n \rangle \rightarrow \mathbb{K}[x_1, \dots, x_n]$, the map from the noncommutative polynomial ring to the commutative one which sends X_i to x_i then any noncommutative ideal $\mathcal{J} = \gamma^{-1}(\mathcal{I})$ has a finite Gröbner basis even after a linear change of variables. By an example they prove that if \mathbb{K} is of characteristic $p \neq 0$ then this result does not always hold. In this work, we consider a coefficient finite field \mathbb{K} . Then we first give a necessary and sufficient condition for any ideal of the form $\gamma^{-1}(\mathcal{I})$ to have finite Gröbner basis. We secondly prove that this condition is satisfied for any 0-dimensionnal \mathcal{I} . We finish by investigating the particular case where \mathcal{I} is a principal ideal.

Key Words: Finite field, Finiteness, Initial ideal, Linear change of variables, Noncommutative Gröbner bases.

2020 MSC: 16Z05, 08A62, 13B25, 13B02.

Dedicated to our Professor David E. Dobbs for his 80th Birthday.

1 Introduction

The concept of Gröbner basis was introduced by Bruno Buchberger in the context of commutative polynomial ring $\mathbb{K}[x_1, \dots, x_n]$ with coefficients in a field \mathbb{K} during his PhD thesis. See [2]. Naively, a Gröbner basis of an ideal $\mathcal{I} \subset \mathbb{K}[x_1, \dots, x_n]$ can be defined as a set of generators of \mathcal{I} which solves efficiently the ideal membership problem by using the generalization of the euclidean algorithm to the multivariate polynomials. Furthermore, Buchberger's algorithm guarantees the existence of a finite Gröbner basis for any ideal of $\mathbb{K}[x_1, \dots, x_n]$.

Except the ideal membership problem solving, Gröbner bases have several other interesting applications: polynomial system solving, determination of a basis of an intersection, a product or a quotient of two ideals, etc. See [3] and [7]

Gröbner bases were then extended to many other algebras. One of the first generalizations was their adaptation to the noncommutative polynomial ring $\mathbb{K}\langle X_1, \dots, X_n \rangle$ presented in [1] and [8]. An immediate consequence of this extension is the lost of the finiteness. Most of the ideals of $\mathbb{K}\langle X_1, \dots, X_n \rangle$ do not have a finite Gröbner basis. The classical example is the principal ideal $\mathcal{J} = \langle X_1 X_2 X_1 - X_2 X_1 \rangle$. Any generator set of \mathcal{J} which solves the ideal membership problem contains polynomials $f_k = X_1 X_2^k X_1 - X_2^k X_1$ for any $k \in \mathbb{N}^*$. So \mathcal{J} does not admit a finite Gröbner basis. Thus characterizing

noncommutative ideals that have a finite Gröbner basis became an interesting challenge. In this direction, D. Eisenbud, I. Peeva and B. Sturmfels proved in [6] that if \mathbb{K} is a field of characteristic 0 and if $\gamma : \mathbb{K}\langle X_1, \dots, X_n \rangle \longrightarrow \mathbb{K}[x_1, \dots, x_n]$ is the map from the noncommutative polynomial ring to the commutative one which sends X_i to x_i then any noncommutative ideal $\mathcal{J} = \gamma^{-1}(\mathcal{I})$ has a finite Gröbner basis even after a linear change of variables. In [5], Y. Diop and D. Sow treated the opposite problem. They showed that if a noncommutative ideal \mathcal{J} has a finite Gröbner basis and contains all commutators then there exists an ideal \mathcal{I} of $\mathbb{K}[x_1, \dots, x_n]$ such that $\mathcal{J} = \gamma^{-1}(\mathcal{I})$. They also described how to find a generator set of the ideal \mathcal{I} .

The combination of these two results yields a characterization of noncommutative ideals that have a finite Gröbner basis and contain commutators in the case of a field of characteristic 0.

Recently, L. Mesmoudi and Y. Diop proved in [4] that the map γ can be replaced by any surjective homomorphism $\lambda : \mathbb{K}\langle X_1, \dots, X_n \rangle \longrightarrow \mathbb{K}[x_1, \dots, x_n]$.

When the coefficient field \mathbb{K} is finite then we can have an ideal \mathcal{I} of $\mathbb{K}[x_1, \dots, x_n]$ such that $\gamma^{-1}(\mathcal{I})$ has no finite Gröbner basis. See *Example 3*. Hence we are interested into finding conditions for which a noncommutative ideal of the form $\mathcal{J} = \gamma^{-1}(\mathcal{I})$ has a finite Gröbner basis when \mathbb{K} is a finite field.

In all the paper:

1. \mathbb{K} is a field;
2. (a) $\mathbb{K}[x_1, \dots, x_n]$ is the n -variate commutative polynomial ring over \mathbb{K} ;
 (b) $\mathbb{M} = \{x_1^{\alpha_1} \dots x_n^{\alpha_n}\}$ is the set of monomials of $\mathbb{K}[x_1, \dots, x_n]$;
 (c) $<$ is a monomial order on \mathbb{M} ;
 (d) For a non nil polynomial g , $in_{<}(g)$ is the leading monomial g w.r.t $<$;
 (e) $\mathcal{I} \subset \mathbb{K}[x_1, \dots, x_n]$ is an ideal given by a minimal Gröbner basis G w.r.t $<$ and $in_{<}(\mathcal{I}) = \langle in_{<}(g), g \in \mathcal{I} \rangle$ is the initial ideal of \mathcal{I} ;
3. (a) $\mathbb{K}\langle X_1, \dots, X_n \rangle$ is the n -variate noncommutative polynomial ring over \mathbb{K} ;
 (b) \mathbb{M}' is the set of monomials of $\mathbb{K}\langle X_1, \dots, X_n \rangle$;
 (c) \ll is the lexicographic extension of $<$ on \mathbb{M}' (see *Proposition 2.3* for the definition);
 (d) $in_{\ll}(g)$ is the leading monomial of $0 \neq g \in \mathbb{K}\langle X \rangle$ w.r.t \ll ;
 (a) $\gamma : \mathbb{K}\langle X_1, \dots, X_n \rangle \longrightarrow \mathbb{K}[x_1, \dots, x_n]$ replaces X_i by x_i ;
 (b) $\delta : \mathbb{K}[x_1, \dots, x_n] \longrightarrow \mathbb{K}\langle X_1, \dots, X_n \rangle$ replaces x_i by X_i by putting variables in the increasing order

2 Preliminaries

In this section, we recall the Gröbner bases computation of an ideal of type $\mathcal{J} = \gamma^{-1}(\mathcal{I})$ designed in [6]. The two elements in the next definition play a fundamental role.

Definition 2.1. Let \mathcal{L} be a monomial ideal of $\mathbb{K}[x_1, \dots, x_n]$.

1. A monomial $m \in \mathcal{L}$ is called a minimal generator of \mathcal{L} if $\frac{m}{u} \notin \mathcal{L}$ for any monomial $u \neq 1$ which divides m .

The set of minimal generators of \mathcal{L} will be denoted $\mathcal{M}(\mathcal{L})$.

2. Let $m = x_p^{\alpha_p} \cdots x_q^{\alpha_q}$, $p < \cdots < q$ be a minimal generator of \mathcal{L} . We define the set

$$U_{\mathcal{L}}(m) = \{u \in \mathbb{M} \cap \mathbb{K}[x_{p+1}, \dots, x_{q-1}] \mid u \frac{m}{x_p} \notin \mathcal{L}, u \frac{m}{x_q} \notin \mathcal{L}\}.$$

Example 2.2. Let $\mathcal{L} = \langle x_1x_2x_3, x_1^2x_3, x_2x_3^2 \rangle$ be an ideal of $\mathbb{R}[x_1, x_2, x_3]$. Then $x_1x_2x_3$ and $x_2x_3^2$ are minimal generators of \mathcal{L} .

$$U_{\mathcal{L}}(x_1x_2x_3) = \{u \in \mathbb{R}[x_2] \mid ux_2x_3 \notin \mathcal{L}, ux_1x_2 \notin \mathcal{L}\} = \{x_2^d, d \in \mathbb{N}\} \text{ and } U_{\mathcal{L}}(x_2x_3^2) = \{1\}$$

Remark that the second point of the definition can be extended to the case $p = q$. If $m = x_p^{\alpha_p}$ then $U_{\mathcal{L}}(m) = \{1\}$.

More generally, $1 \in U_{\mathcal{L}}(m)$ for any minimal generator m of a monomial ideal \mathcal{L} .

The following theorem is the main result of [6]. It described a computation of a Gröbner basis of $\gamma^{-1}(\mathcal{I})$ from one of \mathcal{I} .

Proposition 2.3. (See [6]) Let $<$ be a monomial order on \mathbb{M} . Then the ordering \ll on $k\langle X \rangle$ defined by

$$u \ll v \Leftrightarrow \begin{cases} \gamma(u) < \gamma(v) \\ \text{or} \\ \gamma(u) = \gamma(v) \text{ and } u <_{lex} v \end{cases}$$

is a monomial order on \mathbb{M}' . It is called the lexicographic extension of $<$.

Theorem 2.4 (Eisenbud, Peeva, Sturmfels). If $\mathcal{J} = \gamma^{-1}(\mathcal{I})$ and G , a minimal Gröbner basis of \mathcal{I} w.r.t. $<$ then the set

$$\{X_iX_j - X_jX_i \mid i > j, x_i, x_j \notin in_{<}(\mathcal{I})\} \cup \{\delta(uf) \mid f \in G, u \in U_{in_{<}(\mathcal{I})}(in_{<}(f))\}$$

is a minimal Gröbner basis of \mathcal{J} w.r.t. lexicographic extension \ll of $<$.

This theorem appeared first in [6] (page 2.) and was then revisited and improved in [5] (pages 3. and 4.).

In the rest, when talking about Gröbner bases of $\gamma^{-1}(\mathcal{I})$, it is always w.r.t. lexicographic extension \ll of the considered monomial $<$ on \mathbb{M} .

It is clear that the Gröbner basis in *Theorem 2.4.* is finite if and only if $U_{in_{<}(\mathcal{I})}(in_{<}(f))$ is a finite set for any $f \in G$. Some conditions of finiteness are given in the two following results.

Definition 2.5. Let \mathcal{L} be a monomial ideal of $\mathbb{K}[x_1, \dots, x_n]$.

1. \mathcal{L} is said 0-Borel fixed if for any generator m of \mathcal{L} and x_i a divisor of m then $x_j \frac{m}{x_i} \in \mathcal{L} \forall x_j < x_i$.
2. \mathcal{L} is said p -Borel fixed if the following condition is satisfied: for any generator m of \mathcal{L} , if x_i^t divides m and x_i^{t+1} does not divide m then $(\frac{x_j}{x_i})^s m \in \mathcal{L} \forall x_j < x_i$ and $s \leq p$.

Proposition 2.6. (Refer to [6] page 3. for the proof)

If $in_{<}(\mathcal{I})$ is 0-Borel fixed or p -Borel fixed for some prime integer p then $U_{in_{<}(\mathcal{I})}(m)$ is finite for any minimal generator m of $in_{<}(\mathcal{I})$.

The following theorem is enonciated and used in the *Proof of Corollary 1.1.* in [6]. Moreover a reference for it is given in the same document.

Theorem 2.7 (Bayer-Galligo-Stilmann). If \mathbb{K} is infinite then after a linear change of variables $in_{<}(\mathcal{I})$ is 0-Borel fixed or p -Borel fixed for some prime integer p .

Proposition 2.6. and *Theorem 2.7.* imply that if \mathbb{K} is of characteristic 0 then Gröbner bases computed in *Theorem 2.4.* are finite even after a linear change of variables. In other words, for some well chosen linear change of variables and \mathcal{I}' , the ideal corresponding to \mathcal{I} , $\gamma^{-1}(\mathcal{I}')$ has a finite Gröbner basis.

Example 2.8. Let $\mathcal{I} = \langle x_1x_2x_3 + x_1x_2 + x_1x_3 + x_2x_3 \rangle \subset \mathbb{R}[x_1, x_2, x_3]$ be the ideal generated by $x_1x_2x_3 + x_1x_2 + x_1x_3 + x_2x_3$.

For any fixed monomial order $<$, $in_{<}(\mathcal{I}) = \langle x_1x_2x_3 \rangle$.

Then a first computation yields $U_{in_{<}(\mathcal{I})}(x_1x_2x_3) = \{x_2^d, d \in \mathbb{N}\}$.

But after the change of variables $\begin{cases} x_1 \mapsto y_1 + y_3 \\ x_2 \mapsto y_2 + y_3 \\ x_3 \mapsto y_3 \end{cases}$ the ideal becomes:

$$\begin{aligned} \mathcal{I}' &= \langle (y_1 + y_3)(y_2 + y_3)y_3 + (y_1 + y_3)(y_2 + y_3) + (y_1 + y_3)y_3 + (y_2 + y_3)y_3 \rangle \\ &= \langle y_1y_2y_3 + y_1y_3^2 + y_2y_3^2 + y_3^3 + y_1y_2 + 2y_1y_3 + 2y_2y_3 + 3y_3^2 \rangle \end{aligned}$$

Then by the lexicographic order with $y_1 < y_2 < y_3$ its initial ideal is $\langle y_3^3 \rangle$ and then $U_{in_{<}(\mathcal{I}')}(\mathcal{I}) = \{1\}$. So by *Theorem 2.4.* the set:

$$\{Y_1Y_2Y_3 + Y_1Y_3^2 + Y_2Y_3^2 + Y_3^3 + Y_1Y_2 + 2Y_1Y_3 + 2Y_2Y_3 + 3Y_3^2, Y_2Y_1 - Y_1Y_2, Y_3Y_1 - Y_1Y_3, Y_3Y_2 - Y_2Y_1\}$$

is a Gröbner basis of $\gamma^{-1}(\mathcal{I}')$.

In the case of finite fields there are examples of ideals \mathcal{I} for which there is any finite Gröbner basis for the ideal $\gamma^{-1}(\mathcal{I})$. The following example is discussed in a more general case in [6].

Example 2.9. Let $\mathcal{I} = \langle x_1^4x_2^2x_3 + x_1^4x_2x_3^2 + x_1^2x_2^4x_3 + x_1^2x_2x_3^4 + x_1x_2^4x_3^2 + x_1x_2^2x_3^4 \rangle$ be an ideal of $\mathbb{F}_2[x_1, x_2, x_3]$. \mathcal{I} is invariant under any linear change of variables. Then its initial ideal with respect to any monomial order is of the form $in_{<}(\mathcal{I}) = \langle x_i x_j^2 x_k^4 \rangle$ and $U_{in_{<}(\mathcal{I})}(x_i x_j^2 x_k^4) = \{x_j^d, d \in \mathbb{N}\}$.

This implies that $U_{in_{<}(\mathcal{I})}(in_{<}(f))$ is an infinite set and then $\gamma^{-1}(\mathcal{I})$ has no finite Gröbner basis.

By *Theorem 2.7.*, we know that if \mathbb{K} is of characteristic 0 then there exists a change of variables that yields a finite Gröbner basis of $\gamma^{-1}(\mathcal{I})$ for any ideal \mathcal{I} . Thus in what follows we will work exclusively with finite fields. More precisely, in all the rest $\mathbb{K} = \mathbb{F}_q$ is the finite field of q elements. Under some conditions we can decide whether $\gamma^{-1}(\mathcal{I})$ has a finite Gröbner basis. We discuss them in the next section.

3 On finiteness of Gröbner bases of $\gamma^{-1}(\mathcal{I})$ with a finite coefficient field

For a monomial ideal $\mathcal{L} \subset \mathbb{F}_q[x_1, \dots, x_n]$ and a minimal generator m of \mathcal{L} , the following result yields a sufficient and necessary condition for $U_{\mathcal{L}}(m)$ to be finite.

Proposition 3.1. Let $\mathcal{L} \subset \mathbb{F}_q[x_1, \dots, x_n]$ be a monomial ideal and $m = x_p^{\alpha_p} \dots x_q^{\alpha_q}$ a minimal generator of \mathcal{L} . The two following statements are equivalent.

1. For any integer i in $[p + 1, q - 1]$ there exists $d_i \in \mathbb{N}$ such that $x_i^{d_i} \notin U_{\mathcal{L}}(m)$.
2. $U_{\mathcal{L}}(m)$ is a finite set.

Proof. Let \mathcal{L} be a monomial ideal, $m = x_p^{\alpha_p} \dots x_q^{\alpha_q}$, a minimal generator of \mathcal{L} and $u \in U_{\mathcal{L}}(m)$. Then we can write $u = x_{p+1}^{\beta_{p+1}} \dots x_{q-1}^{\beta_{q-1}}$, $\beta_{p+1}, \dots, \beta_{q-1} \in \mathbb{N}$.

1) \implies 2)

Suppose that for any integer i in $[p + 1, q - 1]$ there is $d_i \in \mathbb{N}$ such that $x_i^{d_i} \notin U_{\mathcal{L}}(m)$.

$$\begin{aligned} x_i^{d_i} \notin U_{\mathcal{L}}(m) &\implies x_i^d \notin U_{\mathcal{L}}(m) \forall d > d_i \\ &\implies U_{\mathcal{L}}(m) \subseteq \{x_{p+1}^{\beta_{p+1}} \dots x_{q-1}^{\beta_{q-1}}, \beta_i \leq d_{i_0}\} \text{ where } d_{i_0} = \max\{d_i : x_i^{d_i} \in U_{\mathcal{L}}(m)\} \\ &\implies \text{Card}(U_{\mathcal{L}}(m)) \leq \prod_{p+1}^{q-1} d_{i_0} \end{aligned}$$

2) \implies 1)

Conversely if there exists i such that $x_i^{d_i} \in U_{\mathcal{L}}(m) \forall d_i$ then $U_{\mathcal{L}}(m)$ is an infinite set. So if $U_{\mathcal{L}}(m)$ is a finite set then for any i there exists $d_i \in \mathbb{N}$ such that $x_i^{d_i} \notin U_{\mathcal{L}}(m)$. \square

Corollary 3.2. Let $\mathcal{I} \subset \mathbb{F}_q[x_1, \dots, x_n]$ be an ideal. Then $\gamma^{-1}(\mathcal{I})$ has a finite Gröbner basis if and only if the first condition of Proposition 3.1. is satisfied for any minimal generator of the initial ideal of \mathcal{I} .

For so well-called 0-dimensionnal ideals, we prove that this condition is always satisfied. Those ideals have interesting properties and applications.

Definition 3.3. Let \mathbb{K} be a field. An ideal $\mathcal{I} \subset \mathbb{K}[x_1, \dots, x_n]$ is called a 0-dimensionnal ideal if the quotient ring $\mathbb{K}[x_1, \dots, x_n]/\mathcal{I}$ has a finite dimension as a vector space.

Theorem 3.4. Let \mathbb{K} be a field, $\mathcal{I} \subset \mathbb{K}[x_1, \dots, x_n]$, an ideal and G , a Gröbner basis of \mathcal{I} w.r.t. $<$. Then \mathcal{I} is 0-dimensionnal if and only if for any x_i , there exists $d_i \in \mathbb{N}^*$ and $g \in G$ such that $in_{<}(g) = x_i^{d_i}$.

From Theorem 3.4. and Corollary 3.2. we have the following result.

Corollary 3.5. Let $\mathcal{I} \subset \mathbb{F}_q[x_1, \dots, x_n]$ be a 0-dimensionnal ideal. Then $\gamma^{-1}(\mathcal{I})$ has a finite Gröbner basis.

Proof. Let \mathcal{I} be a 0-dimensionnal and G , a minimal Gröbner basis of \mathcal{I} . Then for any $i \in [1, n]$ there exists $g \in G$ s.t. $in_{<}(g) = x_i^{d_i}$ for some $d_i \in \mathbb{N}^*$.

Thus for any $f \in G$, $x_i^{d_i} \notin U_{\mathcal{L}}(in_{<}(f))$. \square

Because of Corollary 3.5., the ideals we consider in the rest of the paper are non 0-dimensionnal. Then for any ideal $\mathcal{I} \subset \mathbb{F}_q[x_1, \dots, x_n]$ in the following there exists $i \in [1, n]$ such that $x_i^{d_i} \neq in_{<}(g)$ for any $d_i \in \mathbb{N}^*$ and any $g \in G$. It is equivalent to say $x_i^{d_i} \notin in_{<}(\mathcal{I})$ for $d_i \in \mathbb{N}^*$. So the set $A = \{i \in [1, n] \mid x_i^{d_i} \notin in_{<}(\mathcal{I}) \forall d_i \in \mathbb{N}^*\}$ is non empty.

In the following, we improve the result of Proposition 3.1..

For a monomial $m = x_1^{\beta_1} \dots x_n^{\beta_n}$, we set $\deg_{(m)}(x_i) = \beta_i$.

Proposition 3.6. Let $\mathcal{I} \subset \mathbb{F}_q[x_1, \dots, x_n]$ be a non 0-dimensionnal ideal and A defined as before. Let $i \in A$, $d = \max\{\deg_{m'}(x_i), m' \in \mathcal{M}(in_{<}(\mathcal{I}))\}$ and $m = x_p^{\alpha_p} \dots x_i^{\alpha_i} \dots x_q^{\alpha_q}$ a minimal generator of $in_{<}(\mathcal{I})$ such that $\alpha_i \neq d$.

If $x_i^{d-\alpha_i} \in U_{in_{<}(\mathcal{I})}(m)$ then $x_i^{d_i} \in U_{in_{<}(\mathcal{I})}(m) \forall d_i \in \mathbb{N}$.

Proof. Let m and d chosen as declared and suppose that $x_i^{d-\alpha_i} \in U_{in_{<}(\mathcal{I})}(m)$. Then $u \in U_{in_{<}(\mathcal{I})}(m)$ for any monomial u which divides $x_i^{d-\alpha_i}$. It follows that $x_i^{d_i} \in U_{in_{<}(\mathcal{I})}(m)$ for any $d_i < d - \alpha_i$ (1*).

In the other side, from $x_i^{d-\alpha_i} \in U_{in_{<}(\mathcal{I})}(m)$ we obtain $m_p = x_i^{d-\alpha_i} \frac{m}{x_p} \notin in_{<}(\mathcal{I})$ and $m_q = x_i^{d-\alpha_i} \frac{m}{x_q} \notin in_{<}(\mathcal{I})$.

We can remark that $\deg_{m_p}(x_i) = \deg_{m_q}(x_i) = d$.

Let m' be a minimal generator.

$$\begin{aligned} m_p, m_q \notin in_{<}(\mathcal{I}) &\implies m' \nmid m_p \wedge m' \nmid m_q \\ &\implies \forall \beta_i \in \mathbb{N}^*, m' \nmid x_i^{\beta_i} m_p \wedge m' \nmid x_i^{\beta_i} m_q \\ &\implies x_i^{d-\alpha_i} x_i^{\beta_i} \in U_{in_{<}(\mathcal{I})}(m) \\ &\implies x_i^{d_i} \in U_{in_{<}(\mathcal{I})}(m) \forall d_i > d - \alpha_i \quad (2*) \end{aligned}$$

From (1*) and (2*) we have the result. \square

The *Proposition 3.6.* yields a tool to check whether the noncommutative ideal $\gamma^{-1}(\mathcal{I})$, for a commutative ideal \mathcal{I} given by a minimal Gröbner basis, has a finite Gröbner basis. It requires less computation than *Proposition 3.1.*

Now we investigate the special case of principal ideals. For any principal ideal $\mathcal{I} = \langle g \rangle \subset \mathbb{F}_q[x_1, \dots, x_n]$ and its initial ideal $\mathcal{L} = \langle in_{<}(g) \rangle$, we have $U_{\mathcal{L}}(in_{<}(g)) = \{1\}$ or $U_{\mathcal{L}}(in_{<}(g))$ is an infinite set. A necessary and sufficient condition to obtain $U_{\mathcal{L}}(in_{<}(g)) = \{1\}$ is given in the following result.

Proposition 3.7. *Let $\mathcal{I} = \langle g \rangle \subset \mathbb{F}_q[x_1, \dots, x_n]$ be a principal ideal. Then $\gamma^{-1}(\mathcal{I})$ has a finite Gröbner basis if and only if $in_{<}(g)$ is a product of powers of two consecutive variables even after a linear change of variables.*

Proof. After a linear change of variables, if $in_{<}(g) = y_i^{\alpha_i} y_{i+1}^{\alpha_{i+1}}$ for some $1 \leq i \leq n-1$ and $\alpha_i, \alpha_{i+1} \in \mathbb{N}$ then $U_{\mathcal{L}}(in_{<}(g)) = \{1\}$.

If $in_{<}(g) \neq y_i^{\alpha_i} y_{i+1}^{\alpha_{i+1}}$ for any $1 \leq i \leq n-1$ and $\alpha_i, \alpha_{i+1} \in \mathbb{N}$ then $in_{<}(g) = y_p^{\alpha_p} \dots y_q^{\alpha_q}$ with $p+1 < q$ and $\alpha_p \neq 0, \alpha_q \neq 0$. Thus $y_t^{\alpha_t} \in U_{\mathcal{L}}(in_{<}(g)) \forall (t, \alpha_t) \in [p, q] \times \mathbb{N}$. And then $U_{\mathcal{L}}(in_{<}(g))$ is an infinite set. \square

The previous result means that in the case of a principal ideal $\mathcal{I} = \langle g \rangle$, to decide whether $\gamma^{-1}(\mathcal{I})$ has a finite Gröbner basis or not, one has only to look for the existence of a linear change of variables for which $in_{<}(g) \in \mathbb{F}_q[y_i, y_{i+1}]$.

Example 3.8. Let $\mathcal{I} = \langle x_1^4 x_2^2 x_3 \rangle \subset \mathbb{F}_2[x_1, x_2, x_3]$. By the change of variables in *Example 2.8.*, $\{Y_1^4 Y_2^2 Y_3 + Y_1^4 Y_3^3 + Y_2^2 Y_3^5 + Y_3^7, Y_2 Y_1 - Y_1 Y_2, Y_3 Y_1 - Y_1 Y_3, Y_3 Y_2 - Y_2 Y_3\}$ is a Gröbner basis of $\gamma^{-1}(\mathcal{I})$.

More generally, for a principal monomial ideal, such a change of variables always works.

Corollary 3.9. *$\gamma^{-1}(\mathcal{I})$ has a finite Gröbner basis for any monomial principal ideal $\mathcal{I} \subset \mathbb{F}_q[x_1, \dots, x_n]$.*

Proof. Let m be a monomial and $\mathcal{I} = \langle m \rangle$. We order variables such that those of m are the last q ones.

Namely $m = x_{n-q+1}^{\alpha_{n-q+1}} \dots x_n^{\alpha_n}$ where $\alpha_i \neq 0 \forall 1 \leq i \leq q$. Consider the change of variables $\begin{cases} x_n \mapsto y_n \\ x_i \mapsto y_n + y_i \forall i < n \end{cases}$

Then m becomes $m' = y_n^{\beta_n} + \sum_j \lambda_j m_j$, where m_j is a monomial $\forall j$ and

$\deg_{m_j}(y_n) < \beta_n$. So by the lexicographic order with $y_1 < \dots < y_n$ we have $in_{<}(\mathcal{I}') = \langle y_n^{\beta_n} \rangle$ and then

$U_{in_{<}(\mathcal{I}')}(\langle y_n^{\beta_n} \rangle) = \{1\}$. \square

Acknowledgment

We are grateful of the "Ecole Doctorale de Mathématiques et Informatique" of the Cheikh Anta Diop University of Dakar which partially funded this investigation.

References

- [1] G. M. Bergmann, *The diamond lemma for ring theory*, *Adv. Math.* 29 (1978), 178-218.
- [2] B. Buchberger, *PhD thesis 1965: An algorithm for finding the basis elements of the residue class of a zero dimensional ideal*, *Journal of Symbolic Computation* 41(2006) 475-511.
- [3] D. Cox, J. Little and D. O'Shea, *Ideals, Varieties and Algorithms An Introduction to Computational Algebraic Geometry and Commuative Algebra, Second edition Springer, Unergraduate Texts in Mathematics* 1997.
- [4] Y. Diop and L. Mesmoudi, *A contribution to the study of a class of noncommutative ideals admitting finite Gröbner bases* (to appear soon in Springer).
- [5] Y. Diop and D. Sow, *On finite noncommutative Gröbner bases*, *Algebra Colloq.* 27(3) (2020), 381-388.
- [6] D. Eisenbud, I. Peeva and B. Sturmfels, *Noncommutative Gröbner bases for commutative algebras*, *Proc. Amer. Math. Soc.* 126 (1998), 687-691
- [7] J. C. Faugère, *Calcul efficace des bases de Gröbner et applications*, Février 2007.
- [8] T. Mora, *An introduction to commutative and non-commutative Gröbner Bases*, *Journal of Theoretical Computer Science*, 13 (1994), 131-173.
- [9] I. Peeva, *0-Borel fixed ideals*, *J. Algebra* 184 (1996), 945-984.
- [10] I. Peeva, M. Stillman, *The minimal free resolution of a Borel ideal*, *Expo. Math.* 26(3) (2008), 237-247.